Remote exams now available

CISI
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

International Certificate in Wealth and Investment Management (ICWIM)

Key features

- **Prepare for a career in wealth management** – ICWIM provides a comprehensive introduction to financial planning, industry regulation, investment analysis and lifetime financial provision
- **First step in the CISI's wealth/retail pathway** – you can build upon this qualification by progressing to higher level CISI wealth/retail qualifications
- **Available to all** – the qualification has no entry requirements
- **Globally focused** – provides knowledge of the key wealth management principles without focusing specifically on one jurisdiction
- **Free CISI Student membership** – become associated with a Chartered professional body and take advantage of an extensive range of benefits
- **ACSI designatory letters**
- Exams available online via **remote invigilation** or at one of our **global test centres**

What is the ICWIM?

Growing prosperity is increasing the demand for private banking services, whilst the turmoil in the financial markets demonstrates the need for robust and focused solutions to help clients withstand market volatility and preserve their wealth.

ICWIM covers the essentials of financial planning, private client asset management, fund management, advisory functions and investment analysis from a global perspective. You will learn about the range of assets and investment products available in the market and you will gain the knowledge to provide financial advice and find appropriate solutions to meet the investment, retirement and protection planning needs for your clients. ICWIM is suitable for individuals engaged in private client asset management and fund accounting.

Who should study the qualification?

The qualification's broad focus on wealth and investment management makes it suitable for a wide audience - from new entrants to financial services to professionals already working in the industry who may be interested in diversifying into wealth management. It is an ideal alternative for staff working in wealth management roles who need to demonstrate competence through the achievement of a benchmark qualification and who want to develop an understanding of how to deliver wealth management advice.

The ICWIM meets the needs of those firms who want to ensure that staff are able to provide high-quality advice and the qualification can be included into staff induction programmes.

Ofqual approval

ICWIM is regulated by Ofqual (on its Regulated Qualifications Framework) as CISI Level 3 Certificate In Wealth & Investment Management. The Total Qualification Time for this qualification is 154 hours. Visit https://register.ofqual.gov.uk/. On the European Qualifications Framework, this equates to a level 4 qualification. For further information visit **cisi.org/eqf**

Accredited by

Triple E

Syllabus summary

The Financial Services Industry	The function of the financial services industry, macroeconomics analysis, microeconomic theory and financial markets.
Industry Regulation	Financial services regulation, financial crime and corporate governance.
Asset Classes	Cash, property, bonds, equities, derivatives and commodities.
Collective Investment	Investment funds and other investment vehicles.
Fiduciary Relationships	Fiduciary duties, advising clients and determining client need.
Investment Analysis	Calculating statistics, financial mathematics, fundamental and technical analysis, yields and ratios and valuations.
Investment Management	Investment services, investment funds, other investment vehicles including structured investment funds, hedge funds, private equity, commodity funds and sukuk investments, risk and return, portfolio construction theories, investment strategies and performance management.
Lifetime Financial Provision	Retirement planning, protection planning, estate planning and trusts, business tax, personal tax and overseas taxation.

View the full syllabus at **cisi.org/icwim**

Studying for the ICWIM

154 hours of study time is recommended and you can self-study for the exam using the CISI learning manual and accompanying elearning material or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit **cisi.org/atp**

The CISI's learning manual policy requires, where available, the most up-to-date CISI learning manual to be purchased when booking an exam, including by an ATP on your behalf. This applies to all candidates sitting CISI exams in the UK (includes Northern Ireland, Guernsey, Jersey and Isle of Man). Candidates sitting CISI exams outside of the UK will receive the most up-to-date learning manual for that subject in PDF format and this is included in the international exam price.

Assessment

ICWIM is assessed via a two-hour 100 multiple-choice question exam. The pass mark is 70%. Exams can be taken remotely or at one of our Computer Based Testing (CBT) centres. Visit **cisi.org/cbt** to find your nearest test venue and **cisi.org/remoteexams** to find out more about sitting remotely.

Book your exam

Online:	**cisi.org/icwim**
By phone:	**+44 20 7645 0777**
Via email:	customersupport@cisi.org

Don't forget to set your results preferences afterwards at **cisi.org/resultsdashboard**.

Visit **cisi.org/prices** for current prices.

Next steps

CISI membership

If you are not currently a CISI member you will receive free CISI Student membership whilst you study and have access to a wide range of benefits. Upon successful completion of the ICWIM you will be eligible to become an Associate member of the Institute and you will be entitled to use the designatory letters, ACSI. CISI membership enables you to develop your career, increase your competence and maintain the highest standards of integrity. You can ultimately strive to achieve personal chartered status, the pinnacle of CISI membership. Visit **cisi.org/membership**

Higher level qualifications

- International Certificate in Advanced Wealth Management (ICAWM)
- Chartered Wealth Manager Qualification - the qualification offers wealth managers (including discretionary portfolio managers, private bankers, IFAs and others dealing with high net worth clients) a postgraduate level specialist qualification encompassing the breadth of knowledge needed to provide a high quality service to clients. **cisi.org/cwmq**